

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. NATURES.018A

APPLICATION NO. 09/852,155

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT
Peter T. McCarthy

FILING DATE May 9, 2001 GROUP 3617

(USE SEVERAL SHEETS IF NECESSARY) CO

	<u>oct</u>	OEMAR	U.S. PATENT DOCUMENTS			
	SATER	HADEMAY	U.S. I ATENT DOCUMENTO			,
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
ECS	5384	11/15/1883	Heathorn			
1	5,384	12/4/1847	R.A. Tilghman			
	144,538	11/11,1873	Harsen	‡CF	ニハー	
	169,396	11/2/1875	O.G. Ahlstrom	OCI	2.5 2000 UP 3	
	234,305	05/28/25	Bovey	100		600
	476,092	12/01/37	Valente	20	UP 3	
	783,012	02/21/05	Biedermann et al.	1		
	787,291	unknown	Michiels		7.70	ECEIVED
	871,059	11/12/07	Douse		U)	
	998,146	07/18/11	Alfier et al.			OCT 4.7 0004
	1,324,722	12/11/1917	William Bergin			OCT 17 2001
	1,607,857	5/27/1926	F. Zukal		70.3	600 MAIL ROOM
	1,684,714	08/12/27	Perry			<u> </u>
	2,241,305	02/27/39	Hill, Jr.			
	2,321,009	9/27/1940	O.P. Churchill			
	2,343,468		Messinger			
	2,423,571	07/08/47	Wilen			
	2,588,363	03/11/52	Corlieu			
	2,850,487	9/2/1958	Gaetano F. D'Alello	ļ		
	2,865,033	12/23/1958	D.L. Jayet			
	2,889,563	6/9/1959	E.W. Lamb, et. al.			
	2,903,719	9/15/1959	J.L. Wozencraft			
	2,950,487	08/30/60	Woods			
	3,019,458	2/6/1962	E. De Barbieri, et. al.			
1/	3,055,025	9/25/1962	L. Ferraro, et. al.	1		
$-\sqrt{+}$	3,082,442	1959	Cousteau, et al.	<u> </u>		

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			U.S. PATENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
Ses	3,084,355	04/09/63	Ciccotelli			
f	3,086,492	04/23/63	Holley	-11/	ED	
	3,112,503	12/3/1963	Holley B.B. Girden Auzols Frederick H. Gwynne Novelli GR	10	001	
	3,171,142		Auzols OCT	2 3		1
	3,239,857	3/15/1966	Frederick H. Gwynne	11P	360	J
	3,256,540		Novelli GRV	יטן		
	3,411,165	11/19/68	Murdoch			
	3,422,470	01/21/69	Mares		HECE	IVED
	3,453,981	07/08/69	Gause		0.07	
	3,491,997	01/27/70	Winters		OCT 1	7 2001
	3,665,535	5/30/1972	Picken	7.0) 3600 M/	II DOOM
	3,773,011	11/20/73	Gronier		J JOOO WIT	IL NOUW
	3,922,741	12/02/75	Semeia			
	3,934,290	01/27/76	Le Vasseur			
	4,007,506	2/15/1977	Rasmussen			
	4,025,977	5/31/1977	Cronin			
	4,083,071	04/11/78	Forjot			
	4,193,371	03/18/80	Baulard-Caugan			
	4,197,869	04/15/80	Moncrieff-Yeates			
	4,209,866	7/1/1980	Loeffler		·	
	4,342,558	08/03/82	Wilson			
	4,521,220	06/04/85	Schoofs			
	4,541,810	09/17/85	Wenzel			
1	4,657,515	4/14/1987	Ciccotelli			
1/1	4,689,029	8/25/1987	Ciccotelli			
	4,738,645	04/19/88	Garofalo			

EXAMINER

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FORM PTO-1449

REPORT & TRADITION

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY, DOCKET NO. NATURES.018A

APPLICATION NO. 09/852,155

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APPLICANT
Peter T. McCarthy

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 FILING DATE
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 May 9, 2001
 3617

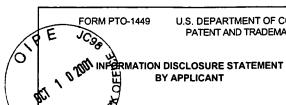
U.S. PATENT DOCUMENTS							
XAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE	
£4,	4,752,259	6/21/1988	Tackett, et. al.				
1	4,773,885	9/27/1988	Ciccotelli				
	4,775,343	10/4/1988	Lamont, et. al.				
	4,781,637	11/01/88	Caires				
	4,820,218	04/11/89	Van de Pol				
	4,857,024	08/15/89	Evans				
	4,869,696	9/26/1989	Ciccotelli		-111	=D	
	4,895,537	1/23/1990	Ciccotelli	DE(PEIA	50 901 3600	
	4,929,206	5/29/1990	Evans	ME	CT 25	- ^	
	4,934,971	06/19/90	Picken		- 115	3600	
	4,940,437	07/10/90	Piatt	G	AOO		
1	4,944,703	07/31/90	Mosier	- 0			
	4,954,111	09/04/90	Cressi	,			
	4,954,112	09/04/90	Negrini et al.				
	5,163,859	11/17/92	Beltrani, et al.				
	5,304,081	4/19/1994	Takizawa				
	5,324,219	06/28/94	Beltrani et al.				
	5,356,323	10/18/94	Evans				
	5,358,323	10/25/94	Ripley				
	5,358,439	10/25/1994	Paolo				
	5,387,145	2/7/1995	Wagner				
	5,417,599	05/23/95	Evans				
	5,429,536	7/4/1995	Evans				
1	5,435,764	7/25/1995	Testa, et. al.				
	5,443,593	8/22/1995	Garofalo		-		
V	5,522,748	6/4/1996	Cressi				

ED SWINEHART

DATE CONSIDERED

PRIMARY EXAMINER

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.



U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTY. DOCKET NO. NATURES.018A

APPLICATION NO. 09/852,155

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APPLICANT Peter T. McCarthy

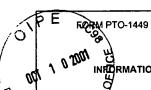
FILING DATE May 9, 2001 **GROUP** 3617

:	U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)		
Too;	5,527,197	6/18/1996	Evans					
1	5,533,918	7/9/1996	Sanders		_			
	5,595,518	1/21/1997	Ours					
	5,597,336	1/28/1997	Evans					
	5,746,631	5/5/1998	McCarthy					
	6,050,868	4/18/00	McCarthy					
	6,095,879	8/2000	McCarthy					
	6,126,503	10/3/00	Viale, et al.					
	Des. 278,165	3/26/1985	Evans	-CF	IVE	7		
	Des. 302,999	8/22/1989	McCredie	HO.	NET 25 200' UP 3	\		
	Des. 327,933	7/14/1992	Evans	00,	4 - 0	600		
	Des. 327,934	7/14/1992	Evans	200	UP 3	000		
	Des. 327,935	7/14/1992	Evans	gne				
	Des. 328,118	7/21/1992	Evans					
	Des. 340,272	10/12/1993	Evans					
	Des. 353,181	12/6/1994	Evans					
	Des. 393,684	4/21/1998	Lin					
	Des. 396,897	8/11/1998	Evans					
	Des. 404,456	1/19/1999	Evans					
			FOREIGN PATENT DOCUMENTS					
 	FOREIGN PATENT DOCUMENTS							

	FOREIGN PATENT DOCUMENTS									
EXAMINER	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	_ATION			
INITIAL						YES	NO			
ws	1501208	Nov 1967	France							

EXAMINER	ED SWINEHART	DATE CONSIDERED	<l< td=""><td>121</td><td>٥3</td><td></td></l<>	121	٥3	
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> **GROUP** 3617

(USE SEVERAL SHEETS IF NECESSARY)

FILING DATE May 9, 2001

			FOREIGN PATENT DOCUMENTS				
EXAMINER	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSI	ATION
INITIAL						YES	NO
EUS	DD 259 353 A	08/24/88	Germany				
1	FR 2574 748 A	06/20/86	France				
	JP62 134395	06/17/87	Japan				
	SU 1323 463 A	03/13/86	Soviet Union				
	625377	09/19/61	Italy				
	0 308 998	03/29/89	Europe				
	DE 3438808	04/24/86	Germany			_	
	2 058 941	1971	France				
	2 213 072	1974	France				
	625377	09/19/61	ITALY (with Certified Translation)			х	
	1.245.395	9/26/60	FRANCE (with Certified Translation)			х	
	17,033	1890	England		15	:D	
	787,291	1935	France	DE(EIA		
	1,245,395	1960	France	1100	7 2 5 2 OUP	001	-
	553307	1956	Italy	- 00		2600)
	61-6097	1986	Japan	GR	DUP	300	
	1,075 997	1960	Germany	<u> </u>			
	1,075 997	1960	Germany (with Certified Translation)			Х	
	1,208,636	1959	France				
1/1							
V							

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
509	Rossier, Robert N., entitled, "Moving Forward - Getting your best kick in the water can come from a combination of design, efficiency and hydrodynamics in fins". ALERT DIVER, Nov-Dec 1997, pages 26 - 28.
V	Triantafyllou, Michael S. and Triantafyllou George S., entitled, "An Efficient Swimming Machine". SCIENTIFIC AMERICAN, March 1995, pages 64-70.
4	"3302 of 1880" (Picture From Book-Found in US PTO)

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DATE CONSIDERED

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EXAMINER: INITIAL IF CITATION CONSIDERED. MINEER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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O	P F FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE 1 1 2001 INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO. NATURES.018A	APPLICATION NO. 09/852,155	
OCT OCT	BY APPLICANT	APPLICANT Peter T. McCarthy		
X II	(USE SEVERAL SHEETS IF NECESSARY)	FILING DATE May 9, 2001	GROUP . 3617	

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
Con	"Test Dive" Article in Jan/Feb 1996 issue of Sport Diver Magazine
وهع	"Oceanic V-Drive Fins" in May/Jun. 1996 Issue of Sport Diver Magazine
	Walton and Katz "Application of Leading-Edge Vortex Manipulations to Reduce Wing Rock Amplitudes" Journal of Aircraft Vol. 30, No. 4, pp. 555-559
	Traub and Nurick "Effects of Wing-Tip Vortex Flaps" Journal of Aircraft Vol. 30, No. 4, pp. 557-559
	Grantz and Marchman III" Trailing Edge Flap Influence on Leading Edge Vortex Flap Aerodynamics", Journal of Aircraft Vol. 20, No. 2, pp. 165-169
)]	Rao "An Exploratory Study of Area-Efficient Vortex Flap Concepts" Journal of Aircraft, Vol. 20, No. 12, pp. 1062-1067
7 1	Lamar and Campbell "Vortex Flaps-Advanced Control Devices for Supercruise Fighters" Jan. 1984, Aerospace America.
	"BZ" bodysurfing fin (Picture), 1st production in 1990
	"Blade Pro" bodysurfing fin (Picture), 1st production 1991
	"Wave Rebel" bodysurfing fin (Picture), 1st production 1994
1	Art. 1400 Pinna "Professional" Fin (1989)

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EXAMINER

ED SWINEHART PRIMARY EXAMINER

DATE CONSIDERED

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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE		NAME	CL	ASS SUBCLASS	FILING DATE (IF APPROPRIATE)
104	5,810,629	9/22/98	Parr				

FOREIGN PATENT DOCUMENTS							
EXAMINER DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
INITIAL				ļ		YES	NO
Ges	2 543 841	April 5, 1983	France			-	
501	1284765	Aug.9, 1972	Great Britain				

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 <u> </u>					SHEET 1 OF 2
	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADITIONAL OFFICE DISCLOSURE STATEMENT	ATTY. DOCKET NO. NATURES.018A	COPY OF PAPERS ORIGINALLY FILED	APPLICATION NO. 09/852,155	
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	1124, 180				

	U.S. PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
401		6,146,224	11/14/00	McCarthy			
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						MAR	1 8 2002
						GRO	JP 3600
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	FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL			COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
Ges	2 543,841	April 5, 1983	France (certified translation)			Х	
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

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